# Circular Dichroism (CD) and Optical Rotatory Dispersion (ORD)

Techniques and Applications with Plane and Circularly polarized light

Animations taken from: (http://www.enzim.hu/~szia/cddemo/edemo0.htm) "Dichroism" is used to denote direction-dependent light absorption.

Linear dichroism refers to the differential absorption of light polarized parallel or perpendicular to the some reference axis.

"Birefringence" refers to the direction-dependent index of refraction





The E vector of linearly polarized light (also called plane-polarized light has a constant direction and a modulated amplitude. By contrast, the E vector of circularly polarized light has a constant amplitude but a modulated direction.





- Can be resolved into its two circularly polarized components
- When added together after passing through an optically isotropic medium, plane polarized light results









# Polarized Light

- Have seen how transition dipoles have direction
- May probe this with linearly polarized light
- May also probe secondary structure:
- Linear Dichroism (LD)
- Difference in absorption of ||versus polarized light
- Optical Rotary Dispersion (ORD)
- Rotation of linearly polarized light by sample
- Circular Dichroism (CD)

Difference in absorption of left versus right circularly polarized light

# Optical activity

- Enantiomers are optically active
  An asymmetric environment can
- An asymmetric environment can also confer optical activity to a molecule
  Optically active molecules have different refractive indices and
- Optically active molecules have different refractive indices, and different extinction coefficients for L and R circularly polarised light



















## Optical rotary dispersion

- ORD curve is a plot of molar rotation [ $\alpha$ ] or [*M*] vs  $\lambda$
- Clockwise rotation is plotted positively; counterclockwise rotation is plotted negatively
- ORD is based solely on the index of refraction
- A so-called plain curve is the ORD for a chiral compound that lacks a chromophore
- Chiral compounds containing a chromophore can give anomalous, or Cotton effect, curves



















## Circular dichroism

- Measurement of how an optically active compound absorbs right- and left-handed circularly polarized light
- All optically active compounds exhibit CD in the region of the appropriate absorption band
- CD is plotted as  $\epsilon_l \epsilon_r vs \lambda$
- For CD, the resulting transmitted radiation is not plane-polarized but elliptically polarized

- Optically active absorbing chromophores present different extinction coefficients for R and L circularly polarized waves
- CD spectroscopy exploits this phenomena to probe the handedness of the environment of the chromophores
- The technique is good at estimating alpha helical content, and at studying dynamic changes is secondary structure

# Circular dichroism

- α is therefore the angle between the initial plane of polarization and the major axis of the ellipse of the resultant transmitted light
- A quantity  $\phi$  is defined such that tan  $\phi$  is the ratio of the major and minor axis of the ellipse of the transmitted light
- φ' approximates the ellipticity
- When expressed in degrees,  $\phi'$  can be converted to a specific ellipticity  $[\phi]$  or a molar ellipticity  $[\theta]$
- CD is usually plotted as  $[\theta]$

specific ellipticity =  $\left[\varphi\right] = \frac{\varphi'}{c'd'}$ 

molar ellipticity =  $\left[\theta\right] = M\left[\varphi\right] \times 10^{-2}$ 

 $\varepsilon_1 - \varepsilon_r = 0.3032 \times 10^{-3} [\theta]$ 

Consider a linearly polarised wave as a superposition of R + L circularly polarized waves

Initially these waves are in phase

The superposition of the two circularly polarized components is still a linearly polarized wave – the phase shift manifests itself as a rotation of the polarization plane

But as they travel through the medium at different speeds, they will be phase shifted when they exit the medium

The wave exiting the specimen is the superposition of L and R waves with different amplitudes, i.e. elliptically polarised

The two circularly polarized waves are attenuated by different amounts by the specimen









# ORD and CD

- CD plots are Gaussian rather than S-shaped.
- Positive or negative deflections depend on the sign of  $\Delta\epsilon$  or  $[\theta]$  and corresponds to the sign of the Cotton effect
- Maximum of the CD occurs at the absorption  $\lambda_{\text{max}}$
- Where more than one overlapping Cotton effect, the CD may be easier to interpret than the ORD with overlapping S-shaped bands

# Origin of ORD-CD peaks

- Chromophores in inherently asymmetrical environments such as helical molecules where chromophore is part of the helix
- Chromophore near a chiral center (common)
- Chromophore adjacent to a chiral plane or axis (less common)















-Circular dichroism is an absorptive quantity and ORD is dispersive.

-CD is a higher resolution method since we measure (relatively narrow) absorption bands.

-In ORD, the dispersive peak is quite spread out - if two bands are close to each other then it is difficult to distinguish them.

- ORD measurements can be performed at wavelengths where the substance being investigated does not necessarily absorb light.

#### Selection Rule for CD

(a)

- Charge displacements (µ) that accompany absorption events are linear. - The circular component (if present) generates a magnetic dipole (m). Transitions that generate a magnetic dipole are magnetically allowed. An example is the  $n\pi^*$  transition of the peptide bond. - Optical activity requires both a finite  $\mu$  and a finite m. The product of these two vectors corresponds to a helical displacement of charge.





By analogy with the dipole strength associated with normal absorption, we can define a rotational strength that indicates the interview in the biline of CD strength in the constraints of the constrain intensity, or probability, of a CD transition.



$$R = \frac{(2.303)(3000)hc}{32\pi^3 N_4} \int \frac{\Delta\varepsilon}{\lambda} d\lambda$$

where h is Planck's constant and c is the speed of light.

Since the left- and right-handed light will be absorbed differently, we will have ellipticity. The occurrence of ellipticity is called circular dichroism.



#### The rotational-strength-rule:

The rotational strength generated in chromophore A by the interaction is exactly balanced by a rotational strength of opposite sign generated in chromophore B. Thus, the sum of all rotational strengths in a CD spectrum must be zero.

When the interacting transitions are degenerate, then the resulting rotational strengths of opposite sign cancel giving rise to the characteristic sigmoidal CD curve

This transition is called *conservative*, because it obeys the rotational strength rule.



#### Applications of CD in structural biology

Determination of secondary structure of proteins that cannot be crystallised Investigation of the effect of e.g. drug binding on protein secondary structure Dynamic processes, e.g. protein folding Studies of the effects of environment on protein structure Secondary structure and super-secondary structure of membrane proteins Study of ligand-induced conformational changes Carbohydrate conformation Investigations of protein-protein and protein-nucleic acid interactions Fold recognition

> Why use CD? Simple and quick experiments No extensive preparation Measurements on solution phase Relatively low concentrations/amounts of sample Microsecond time resolution Any size of macromolecule

In symmetric molecules, magnetic transition dipoles are always perpendicular to electric transition dipoles. Thus, the rotational strength in symmetric molecules is zero, and they exhibit no CD.

Most of the chromophores in biopolymers are symmetric. (Exceptions include the peptide bond and the disulfide bond.) We observe CD bands because of interactions between the transition dipoles of the chromophore and the asymmetric transition dipoles in other parts of the molecule.

Asymmetric disposition of electric and magnetic dipoles may arise because of an intrinsic asymmetry (e.g., that of an  $\alpha$  carbon of a sugar) or because of a super-asymmetry imposed by the macromolecular secondary or tertiary structure.



-An electrical transition dipole acting at a distance has the properties of a magnetic transition dipole. Thus, an oriented array of strongly allowed transition dipoles (e.g., the  $\pi\pi^*$  transition dipoles of the bases in double helical DNA or of the peptide bonds in an  $\alpha$  helix) can function as a strongly allowed magnetic dipole. Thus, interactions between the repetitive  $\pi\pi^*$  chromophores in biopolymers can produce CD bands.

-An electrically allowed transition in a chromophore (e.g., the  $\pi\pi^*$  transition in a DNA base) can exhibit rotational strength due to its interaction with a magnetically allowed transition in some other part of the molecule (i.e., the deoxyribose).

-Also, a magnetically allowed transition (e.g., the peptide  $n\pi^*$  transition) can exhibit rotational strength because of its interaction with adjacent electronically allowed transitions in another part of the molecule.

 However, the magnitude of the interaction decreases with the distance between the transition dipoles and with the energy difference between the transitions. Since the electronic transitions of the deoxyribose fall at substantially higher energy, the CD of individual nucleosides/nucleotides is weak.







### Circular Dichroism of Proteins

- It has been shown that CD spectra between 260 and approximately 180 nm can be analyzed for the different secondary structural types: alpha helix, parallel and antiparallel beta sheets, turns, and other.
- Modern secondary structure determination by CD are reported to achieve accuracies of 0.97 for helices, 0.75 for beta sheet, 0.50 for turns, and 0.89 for other structure types



- For proteins we will be mainly concerned with absorption in the ultraviolet region of the spectrum from the peptide bonds (symmetric chromophores) and amino acid sidechains in proteins.
- Protein chromophores can be divided into three classes: the peptide bond, the amino acid sidechains, and any prosthetic groups.
- The lowest energy transition in the peptide chromophore is an  $n \rightarrow \pi^*$  transition observed at 210 220 nm with very weak intensity ( $\epsilon_{max}$ ~100).

----π

 $\begin{array}{rrrr} ----\pi * & \pi \to \pi * & \sim & \overline{1}90 \text{ nm } \epsilon_{max} \sim 7000 \\ \cdot ---n & n \to \pi & 208 - 210, 191 - 193 \text{ nm } \epsilon_{max} \sim 100 \end{array}$ 





# Protein CD Signal

- The three aromatic side chains that occur in proteins (phenyl group of Phe, phenolic group of Tyr, and indole group of Trp) also have absorption bands in the ultraviolet spectrum. However, in proteins, the contributions to the CD spectra in the far UV (where secondary structural information is located) is usually negligible. Aromatic residues, if unusually abundant, can have significant effects on the CD spectra in the region < 230 nm, complicating analysis.
- The disulfide group is an inherently asymmetric chromophore as it prefers a gauche conformation with a broad CD absorption around 250 nm.







# CD Spectra Fit

- As we have three unknowns in this equation, a measurement at 3 points (different wavelengths) would suffice to solve the problem for χ, the fraction of each contribution to the total measured signal.
- We usually have many more data points available from our measurement (e.g., a whole CD spectrum, sampled at 1 nm intervals from 190 to 250 nm). In this case, we can try to minimize the total deviation between all data points and calculated model values. This is done by a minimization of the sum of residuals squared (s.r.s.):

$$s.r.s. = \sum_{i=1}^{naaaa} (x_1 \cdot \theta_{h(i)} + x_2 \cdot \theta_{s(i)} + x_3 \cdot \theta_{c(i)} - \theta_{T(i)})^2$$

Using CD to Monitor 3° Structure of Proteins

- CD bands in the near UV region (260 350 nm) are observed in a folded protein where aromatic sidechains are immobilized in an asymmetric environment.
- The CD of aromatic residues is very small in the absence of ordered structure (e.g. short peptides).
- The signs, magnitudes, and wavelengths of aromatic CD bands cannot be calculated; they depend on the immediate structural and electronic environment of the immobilized chromophores.
- The near-UV CD spectrum has very high sensitivity for the native state of a protein. It can be used as a fingerprint of the correctly folded conformation.

The CD response obtained was expressed in terms of mean residue ellipticity (MRE) in deg cm<sup>2</sup> dmol<sup>-1</sup> using the following equation  $MRE = \frac{observedCD (m \deg)}{m \log 2}$ 

$$C_P \times n \times l \times 10$$

where  $C_{\rho}$  is the molar concentration of the protein, n is the number of amino acid residues in the protein and *I* is the path length (0.1 cm). The  $\alpha$ -helical content can be calculated from the *MRE* values at 208 nm by using the equation

$$\alpha - Helix(\%) = \frac{(-MRE_{208} - 4000)}{33,000 - 4000} \times 100 = \frac{(-MRE_{208} - 4000)}{29,000} \times 100$$

where  $MRE_{208}$  corresponds to the observed MRE values, 33,000 and 4000 are the MRE values of a pure  $\alpha$ -helix and of the  $\beta$ -form and random coil conformation at 208 nm respectively.









Both the electric field *and* the magnetic field will contribute to an electron displacement along a helical path. So we will have an electric and a magnetic dipole moment.

Now if the circularly polarized light is right-handed, the phase of the light and that of the electron are the same.

In other words, both the electric field and the magnetic field act in concert on the electron.

If the circularly polarized light is left-handed, then there is a phase difference of 180 degrees. In this case, the electric and magnetic fields acting on the electron are in opposite directions.

#### CD Spectra

structure

The adoption of secondary structure by a polypeptide imposes a super-asymmetry that gives rise to characteristic CD bands as a result of degenerate interactions between adjacent electric transition dipoles.

These spectra can be analyzed both qualitatively and quantitatively to analyze the secondary structure of a protein.

- The presence of minima at 222 nm and 208 nm (or a minimum and a shoulder, respectively) is diagnostic for significant  $\alpha$ -helical content.

 A broad minimum at 217 nm is indicative of substantial β-sheet structure.
 A weak positive CD band at 220-230 nm is indicative of substantial disordered



















#### Kinetics

The progress of a reaction can be monitored by CD.

e.g., the slow conversion of all cis poly-proline to all trans poly-proline





#### Sample Preparation

- Additives, buffers and stabilizing compounds: Any compound which absorbs in the region of interest (250 - 190 nm) should be avoided.
- A buffer or detergent or other chemical should not be used unless it can be shown that the compound in question will not mask the protein signal.
- Protein solution: From the above follows that the protein solution should contain only those chemicals necessary to maintain protein stability, and at the lowest concentrations possible. Avoid any chemical that is unnecessary for protein stability/solubility. The protein itself should be as pure as possible, any additional protein or peptide will contribute to the CD signal.

#### Vibrational CD spectroscopy

CD signals can be measured for vibrational transitions, as well as electronic transitions. Vibrational CD (VCD) spectra have the advantage that the bands correspond to specific functional groups.

The appearance of the CD signals for proteins in the Amide I region are sensitive to secondary structure. The fraction of each type of secondary structure can be deduced from VCD spectra, as well as from electronic CD spectra.



